

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/885,865 Confirmation No.: 4988 Filed: June 19, 2001

Inventor(s):

. Shayne Young

Title: MI

METHODS AND SYSTEMS FOR RECONCILING A FORWARD CONVERSION SECURITIES STRATEGY % % % % & &

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§ § Examiner:
Art Unit:

Unknown

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Atty. Dkt. No:

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## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

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**GROUP 3600** 

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references E1-E7) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5226-01700/EBM.

Respectfully submitted,

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Form PTO-1449 (modified) ATTY. DKT. NO. 5226-01700 SERIAL NO. 09/885,865 List of Patents and Publications ROUP: 2161 APPLICANT: Shayne Young For Applicant's Information Disclosure Statement FILING DATE: June 19, 2001 APR 1 2 2004 (Use several sheets if necessary) U.S. PATENT DOCUMEN TRADEN EXAM. REF. **DOCUMENT NUMBER** DATE CLASS FILING DATE IF **SUB** APPROPRIATE **INITIALS** DES. **CLASS** FOREIGN PATENT DOCUMENTS EXAM. REF. DOCUMENT NUMBER DATE **COUNTRY** TRANSLATION **CLASS SUB** YES/NO **INITIALS** DES. **CLASS** E7 WO 01/42967 June 14, 2001 **PCT** OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) International Search Report, PCT/US02/18063, mailed May 8, 2003 International Search Report, PCT/US02/18224, mailed May 15, 2003 E2 E3 International Search Report, PCT/US02/18978, mailed May 21, 2003 **E4** International Search Report, PCT/US02/26471, mailed December 11, 2003 **E**5 International Search Report, PCT/US02/31239, mailed April 3, 2003 E6 International Search Report, PCT/US02/34357, mailed January 7, 2003

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## DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.